

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ng, et al. Art Unit : 1753  
Serial No. : 10/645,022 Examiner : Anthony D. Fick  
Filed : August 21, 2003 Conf. No. : 2918  
Title : SILICON NANOPARTICLES EMBEDDED IN POLYMER MATRIX

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## REQUEST FOR REFUND

On or about July 3, 2007 and March 10, 2008, Applicants paid the required fees for a large entity Petition for Extension of Time by way of Deposit Account No. 06-1050 (copies attached). However, Applicant is entitled to small entity status as evidenced by the attached copy of the Application Transmittal submitted on August 21, 2003.

Accordingly, Applicants respectfully request that the over paid amounts of \$510 and \$525, respectively, be refunded to Fish & Richardson's Deposit Account No. 06-1050 as a credit please credit.

Respectfully submitted,

Date:

6/13/08

Kelly K. Kordzik  
Reg. No. 36,571

**Fish & Richardson P.C.**  
One Congress Plaza  
Suite 810  
111 Congress Avenue  
Austin, TX 78701  
Telephone: (512) 472-5070  
Facsimile: (512) 320-8935

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	<b>Attorney Docket No.</b> 12179-P112US	
	<b>First Inventor</b> Kwok Ng	
	<b>Title</b> Silicon Nanoparticles Embedded in Polymer Matrix	
	<b>Express Mail Label No.</b> EL823268199US	

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 13] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6] 5. Oath or Declaration [Total Pages ] a. <input type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) b. <input type="checkbox"/> (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
<b>ACCOMPANYING APPLICATION PARTS</b>	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <i>(when there is an assignee)</i> Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS/PTO-1449) Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other:	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_


Prior application information:

Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label				<input checked="" type="checkbox"/> Correspondence address below	
<b>Name</b> Kelly K. Kordzik, Winstead Sechrest & Minick P.C.					
<b>P.O. Box</b> 50784					
<b>Address</b> 1201 Main Street					
<b>City</b> Dallas		<b>State</b> TX		<b>Zip Code</b> 75250-0784	
<b>Country</b> U.S.A.		<b>Telephone</b> 512-370-2851		<b>Fax</b> 512-370-2850	

<b>Name (Print/Type)</b> Kelly K. Kordzik	<b>Registration No. (Attorney/Agent)</b> 36,571
<b>Signature</b> 	<b>Date</b> 08/21/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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08/21/03

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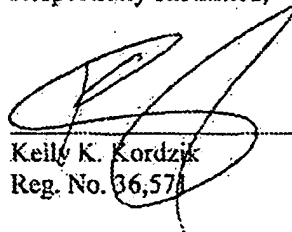
PETITION FOR THREE-MONTH EXTENSION OF TIME

Pursuant to 37 CFR §1.136, applicant hereby petitions that the period for response to the action dated January 3, 2007, be extended for three months to and including July 3, 2007.

Please apply \$1020 for the required fee and any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: July 3, 2007

  
Kelly K. Kordzik  
Reg. No. 36,571

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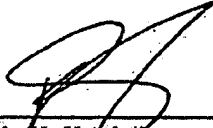
PETITION FOR THREE-MONTH EXTENSION OF TIME

Pursuant to 37 CFR §1.136, applicant hereby petitions that the period for response to the action dated September 18, 2007, be extended for three months to and including March 18, 2008.

Please charge Deposit Account No. 06-1050 in the amount of \$1050 for the required fee.  
Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: March 10, 2008

  
\_\_\_\_\_  
Kelly K. Kordzik  
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